



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO: KCX-695 (16016.1)

DM-10/2003

Inventor: Kronzer, et al. ) Group Art Unit: 1774  
Serial No: 10/003,698 ) Examiner: Tamra Dicus  
Filed: October 31, 2001 ) Our Account No: 04-1403  
Confirmation No: 2529 ) Customer No: 22827  
Title: Heat Transfer Paper With Peelable )  
Film And Discontinuous Coatings )

Commissioner for Patents  
U.S. Patent and Trademark Office  
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Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[x] Attached hereto is:

- a.[x] A list of materials for consideration per Rule 98(a)(1): 3 page(s)
- b.[x] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):  
82 item(s)
- c.[ ] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_
- [ ] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2.[x] This Information Disclosure Statement is being filed [CHECK ONE]:

- a.[x] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b.[ ] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i.[ ] Certification per Rule 97(e); OR
- ii[ ] Filing Fee per Rule 17(p) .....\$180.00
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- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p) .....\$180.00

3.[ ] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a.[ ] That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b.[ ] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable

inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_

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- 4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

- 5.[x] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

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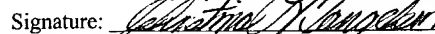
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By: Christina L. Mangelsen, Patent Agent

Reg. No: 50,244

Signature: 

Date: May 27, 2004



(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: KCX-695 (16016.1)	Serial Number: 10/003,698
	Applicant: Kronzer, et al.	
	Filing Date: October 31, 2001 Confirmation No: 2529	Group Art Unit: 1774

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
 USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
 USSN \_\_\_\_\_, filed \_\_\_\_\_;  
 Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS											
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE	
	Meyer, et al.	3	3	5	9	1	2	7	12/19/1967		
	Jachimowicz	3	6	1	6	1	7	6	10/26/1971		
	LaPerre, et al.	3	7	9	0	4	3	9	02/05/1974		
	Mollohan, et al.	3	8	7	2	0	4	0	03/18/1975		
	Asnes	3	9	2	2	4	3	5	11/25/1975		
	Hare	4	2	2	4	3	5	8	09/23/1980		
	Greenman	4	2	3	5	6	5	7	11/25/1980		
	Kronzer	4	2	4	0	8	0	7	12/23/1980		
	Andrews	4	3	0	3	7	1	7	12/01/1981		
	Heimbach, et al.	4	3	2	2	4	6	7	03/30/1982		
	Sanders, et al.	4	3	9	9	2	0	9	08/16/1983		
	Magnotta	4	5	3	6	4	3	4	08/20/1985		
	Galante	4	5	4	8	8	5	7	10/22/1985		
	Harris, Jr., et al.	4	7	5	8	9	5	2	07/19/1988		
	Kronzer	4	8	6	3	7	8	1	09/05/1989		
	Okada, et al.	4	9	2	9	5	0	1	05/29/1990		
	Hare	4	9	6	6	8	1	5	10/30/1990		
	Hare	4	9	8	0	2	2	4	12/25/1990		
	Higashiyama, et al.	5	0	1	9	4	7	5	05/28/1991		
	Yamada, et al.	5	0	2	8	0	2	8	07/02/1991		
	Shibata, et al.	5	0	5	9	5	8	0	10/22/1991		
	Koshizuka, et al.	5	0	6	4	7	4	3	11/12/1991		
	Shimura, et al.	5	0	8	7	5	2	7	02/11/1992		
	Hiyoshi, et al.	5	1	1	0	3	8	9	05/05/1992		
	Hare	5	1	3	9	9	1	7	08/18/1992		
	Matsuda, et al.	5	1	5	1	3	2	6	09/29/1992		
	Hare	5	2	3	6	8	0	1	08/17/1993		
	Kronzer, et al.	5	2	4	2	7	3	9	09/07/1993		
	Yamaguchi, et al.	5	2	4	8	5	4	3	09/28/1993		
	Yasuda, et al.	5	2	5	2	5	3	1	10/12/1993		
	Kronzer, et al.	5	2	7	1	9	9	0	12/21/1993		
	Matsuda, et al.	5	2	8	6	5	2	1	02/15/1994		
	Kawaguchi, et al.	5	3	3	4	4	3	9	08/02/1994		
	Kawasaki, et al.	5	3	6	2	7	0	3	11/08/1994		
	Fisch, et al.	5	3	7	2	9	8	7	12/13/1994		



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	Applicant: Kronzer, et al.	
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	Takeuchi, et al.	5	3	7	2	9	8	8	12/13/1994
	Mimura, et al.	5	4	0	7	7	2	4	04/18/1995
	Mahn, Sr., et al.	5	4	1	3	8	4	1	05/09/1995
	Oshima, et al.	5	4	2	7	9	9	7	06/27/1995
	Hale, et al.	5	4	3	1	5	0	1	07/11/1995
	Yoshimura	5	4	3	2	2	5	8	07/11/1995
	Imamura, et al.	5	4	8	4	6	4	4	01/16/1996
	Orensteen, et al.	5	5	0	8	1	0	5	04/16/1996
	Kronzer	5	6	1	6	1	5	5	04/01/1997
	Stokes, et al.	5	6	6	0	9	2	8	08/26/1997
	Kometani	5	6	7	0	4	4	8	09/23/1997
	Akada, et al.	5	7	0	7	9	2	5	01/13/1998
	Yamaguchi, et al.	5	7	1	6	4	7	7	02/10/1998
	Kuo, et al.	5	7	7	0	2	6	8	06/23/1998
	Kita, et al.	5	7	9	8	1	6	1	08/25/1998
	Kronzer	5	7	9	8	1	7	9	08/25/1998
	Omote, et al.	5	8	4	6	3	6	7	12/08/1998
	Olson, et al.	5	8	6	1	3	5	5	01/19/1999
	Tanaka, et al.	5	8	7	9	8	1	3	03/09/1999
	Kronzer	5	8	9	5	5	5	7	04/20/1999
	Kronzer	5	9	2	5	7	1	2	07/20/1999
	Chen, et al.	5	9	4	2	3	3	5	08/24/1999
	Kronzer	5	9	4	5	3	7	5	08/31/1999
	Kronzer	5	9	6	2	1	4	9	10/05/1999
	Kuwabara, et al.	5	9	8	1	0	4	5	11/09/1999
	Taniguchi	5	9	8	1	0	7	7	11/09/1999
	Tsuchiya, et al.	6	0	5	4	2	2	3	04/25/2000
	Ueda, et al.	6	0	6	6	3	8	7	05/23/2000
	Boyd, et al.	6	0	7	1	3	6	8	06/06/2000
	Harris, et al.	6	1	0	3	3	6	4	08/15/2000
	Kronzer	6	1	1	3	7	2	5	09/05/2000
	Kronzer	6	2	0	0	6	6	8	03/13/2001
	Kronzer	6	2	8	1	1	6	6	08/28/2001
	Williams, et al.	6	4	1	0	2	0	0	06/25/2002
	Kronzer	6	4	2	8	8	7	8	08/06/2002
	Kronzer	6	4	3	2	5	4	9	08/13/2002
	Kronzer	6	4	5	0	6	3	3	09/17/2002
	Dalvey, et al.	6	4	9	7	7	8	1	12/24/2002
	Dalvey, et al.	6	5	5	1	6	9	2	04/22/2003

U.S. PATENT APPLICATION PUBLICATIONS									
EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER							PUBLICATION DATE
	Kronzer	0	1	4	6	5	4	4	10/10/2002



(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: KCX-695 (16016.1)	Serial Number: <b>RECEIVED</b> JUN 02 2004 TC 1700
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FOREIGN PATENT DOCUMENTS															
EXAMINER INITIALS		COUNTRY	DOCUMENT NUMBER								PUBLICATION DATE	TRANSLATION			COPY NOTE
												YES	NO	N/A	
		EP	0	4	6	6	5	0	3	A1	01/15/1992			X	
		EP	0	9	3	3	2	2	6	B1	03/31/2004			X	
		GB	2	2	4	3	3	3	2	A	10/30/1991			X	
		WO	9	0	0	0	4	7	3	A1	01/25/1990			X	
		WO	9	1	0	6	4	3	3	A1	05/16/1991		X		
		WO	9	2	2	2	8	5	7	A1	12/23/1992		X		
		WO	9	5	0	8	4	1	9	A1	03/30/1995			X	

\*\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication		COPY NOTE
EXAMINER	DATE CONSIDERED		
Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.			

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Applicant: Kronzer, et al.

Docket No: KCX-695 (16016.1)

Serial No: 10/003,698

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Examiner: Tamra Dicus

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Filed: October 31, 2001

Date: May 27, 2004

For: Heat Transfer Paper With Peelable Film And Discontinuous Coatings

**RELATED U.S. PATENT APPLICATION**

ASSISTANT COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

The following commonly assigned U.S. Patent Application is being cited to the Examiner for review and consideration. Enclosed please find a copy of this application. Once the application has been reviewed, it is requested that the Examiner place his or her initial to the left of the identified patent on the list document to indicate that the specific patent application has been considered.

RELATED U.S. APPLICATION

<u>Examiner's Initial</u>	<u>Inventor</u>	<u>Serial Number</u>	<u>Filing Date</u>	<u>Title of Application</u>
_____	Frank J. Kronzer	09/614,829 (KCX-680)	07/12/2000	Heat Transfer Material Having Meltable Layers Separated By A Release Coating Layer